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Dated: February 4, 2004

Signature: K. Caploon

(Konstantin A. Caploon)

Docket No.: OSTEONICS 3.0-415  
(PATENT) 3732  
#16

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Patent Application of:  
Axelson et al.

Application No.: 09/974,524

Group Art Unit: 3732

Filed: October 10, 2001

Examiner: D. A. Bonderer

For: METHODS AND TOOLS FOR FEMORAL  
RESECTION IN KNEE SURGERY

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FEB 17 2004

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

TECHNOLOGY CENTER R3700

**INFORMATION DISCLOSURE STATEMENT**

Dear Sir:

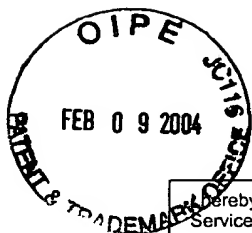
It is respectfully requested that the references listed on the enclosed form be made of record and considered with respect to the above-referenced U.S. patent application. A copy of each reference is enclosed. Submission of the present Information Disclosure Statement should not be taken as an admission that the cited references are legally available prior art or that the same are pertinent or material.

In the event that any fee is due in connection with the present Information Disclosure Statement, the Commissioner is hereby authorized to charge the same to our Deposit Account No. 12-1095.

Dated: February 4, 2004

Respectfully submitted,

By K. Caploon  
Konstantin A. Caploon  
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**CERTIFICATION PURSUANT TO 37 C.F.R. § 1.97(E)(1)**

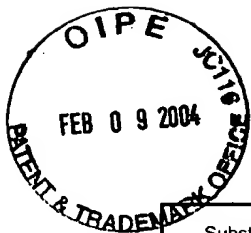
Dear Sir:

Pursuant to 37 C.F.R. § 1.97(e) (1), undersigned counsel hereby certifies that each item of information contained in the accompanying Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application to the above-referenced patent application not more than three months prior to the filing of said statement.

Dated: February 4, 2004

Respectfully submitted,

By K. Caploon  
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Registration No.: 51,527  
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PTO/SB/08a/b (08-03)

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<b>Substitute for form 1449A/B/PTO</b>  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (Use as many sheets as necessary)				<b>Complete if Known</b>	
				Application Number	09/974,524
				Filing Date	October 10, 2001
				First Named Inventor	Stuart L. Axelson, Jr.
				Art Unit	3732
				Examiner Name	D. A. Bonderer
Sheet	1	of	1	Attorney Docket Number	OSTEONICS 3.0-415

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			
	AA	US-5,817,097	10-06-1998	Howard et al.	
	AB	US-3,945,377	03-23-1979	Kronner	
	AC	US-2,697,433	12-21-1954	Zehnder	

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)				
	BA	FR-2,776,176	09-24-1999	Minot et al.		
	BB	WO-00 00093	01-06-2000	Hauri et al.		
	BC	EP-0 187 283	07-16-1986	Buzzi		
	BD	FR-2,644,157	01-10-1992	Bosquet et al.		

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>

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